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SERIAL NUMBER REQUEST DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO. 09/770,289 9/24/03 ATSUSHI SHIOTA, ET AL. 202450US0 Title: PROCESS FOR PRODUCING SILICA-BASED FILM, SILICA-BASED FILM INSULATING FILM, AND SEMI-**CONDUCTOR DEVICE** Art Unit Paper Number Correspondence Address: PATENT & TRADEMARK OFFICE NORMAN F. OBLON MAILED OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT 1940 DUKE STREET MAR 25 2004 ALEXANDRIA VA 22314

Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

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532.198

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This license is granted retroactively to the date(s) and the country(s) indicated on the attached decision.

LICENSING & REVIEW

Grant Date:

Approved

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for Commissioner of Patents and Tradema

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#### LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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In re:

SHIOTA et al.

:DECISION ON REQUEST

Petition No.:

09/770,289

:UNDER 37 CFR 5.25

Petition Filing Date:

September 24, 2003

Title: PROCESS FOR PRODUCING SILICA-BASED FILM, SILICA-BASED FILM, INSULATING FILM, AND SEMICONDUCTOR DEVICE

This is a decision on the petition for retroactive foreign filing license.

It has been determined that a retroactive license for foreign filing under 35 U.S.C. 184 be granted with respect to the filing(s) listed below. The petition complies with 37 C.F.R. 5.25 in that there is an adequate showing that the subject matter in question was not under secrecy order, that the license was diligently sought, and that the material was filed abroad without the required license under 37 C.F.R 5.11 through error and without deceptive intent.

Country	<u>Date</u>
Japan	7/1/1999
Japan	2/1/2000

Xvonne R. Abbott Patent Examiner Licensing & Review (703) 308-2866 SEP 1 5 7003 CANDOCKET NO: 202450US

### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

ATSUSHI SHIOTA ET AL.

: ATTENTION

**LICENSING & REVIEW** 

SERIAL NO: 09/770,289

: EXAMINER: FEELY, M.

FILED: JANUARY 29, 2001

: GROUP ART UNIT: 1712

FOR: PROCESS FOR PRODUCING SILICA-BASED FILM, SILICA-BASED FILM, INSULATING FILM, AND SEMICONDUCTOR DEVICE



#### PETITION FOR RETROACTIVE

## LICENSE FOR FOREIGN FILING UNDER 35 U.S.C. 184

COMMISSIONER FOR PATENTS 'ALEXANDRIA, VIRGINIA 22313-1450

- 55060-012 - 1877061.5

SIR:

Applicants respectfully petition the Patent Office under 37 C.F.R. 5.25 to grant a retroactive license under 35 U.S.C. 184 permitting Applicants to file in Japan Application No. JP 1999-188165 ("JP-165"), filed July 1, 1999, and Application No. JP 2000-23559 ("JP-559"), filed February 1, 2000.

As indicated in the attached Declaration by Atsushi Shiota, (i) the subject matter in <u>JP-165</u> and <u>JP-559</u> was not under a secrecy order at the time it was filed in Japan and is not currently under a secrecy order; (ii) the requested retroactive license has been diligently sought after discovery of the proscribed foreign filing; and (iii) the material was filed in Japan through error and without deceptive intent without the required license under 37 C.F.R. 5.11 first having been obtained.

A license under 35 U.S.C. 184 permitting the above-identified application to be filed

in foreign countries was granted on May 1, 2001, as indicated in the attached Filing Receipt.

The required fee set forth in 37 CFR 1.17(h) of \$130.00 is attached.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

owen faul Umbach

Norman F. Oblon Attorney of Record Registration No. 24,618

Corwin P. Umbach, Ph.D. Registration No. 40,211

Attachments:

Declaration by Atsushi Shiota Filing Receipt

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